Guidance for Understanding NACCAS Standards and Criteria

Standards and Policies effective January 1, 2012

Standard I

Criterion 1

Guidance
The scope is intended to be broad and to allow other than those working within the specific discipline for which they were training to be considered employed. See samples document.

Criteria 6 & 7

Guidance
The advisory committee can be comprised of one employer and the school owner. One employer could be able to comment on all fields and whether the school’s curriculum is effectively preparing graduates for employment. If the school has one advisory committee member who is not qualified to provide feedback in all areas, then the school must have another member. If the institution offers an instructor training program, it is not the intention of the commission for a NACCAS-accredited institution to have an instructor from a competing institution on the advisory committee. Other acceptable members could include: the institution’s owner, an instructor for the instructor training program, a graduate of the instructor training program, a substitute instructor, an individual with expertise and teaching experience in post-secondary education, or an individual with knowledge in pedagogy and in the development of curriculum.

Criteria 8 & 9

Guidance
Feedback is defined as the transmission of evaluative or corrective information about the institution’s performance as provided by currently enrolled students, graduates of the institution, and the institution’s advisory committee.

Feedback may be collected in a number of formats including, but not limited to:
- individual paper surveys
- electronic surveys
- personal meetings with individual students
- a general assembly wherein feedback is obtained from students

The institution must document that feedback is obtained at least annually. Such documentation could be provided through dated surveys, a summary of an electronic survey listing the date range of the survey, a dated agenda and report of a general assembly, etc.

Criterion 10

Guidance
In order to comply with this criterion, the feedback must be summarized. Advisory committee meeting minutes, if one has been conducted, satisfy the requirement for a summary of feedback from the advisory committee members. If a school has obtained 50 student and 50 graduate student surveys as feedback, the school must summarize the results of those surveys. However, teams would not necessarily need to see written surveys for feedback. Feedback can be obtained through town meetings, conference calls, or surveys, etc. The school will only need to provide a summary of the feedback and how it was obtained.

Criterion 11

Guidance
It is the Commission’s objective that the school will no longer have to prepare a written plan; however, the school will need to document those changes which the school deems necessary to make or improve.

Example: The school has shampoo bowls that are falling off the wall. Is it documented that repairs have been made.
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**Standard II**

**Criteria 1, 2 and 3**

**Guidance**
It is the Commission’s objective that “instructors” under Standard II constitute all regularly employed instructors. Substitute instructors will also need to have appropriate credentials.

**Criterion 2 & 3**

**Guidance**
It was the Commission’s decision to make these criteria assurances rather than documentation.

**Criterion 5**

**Guidance**
It is the Commission’s objective that Criterion 4 requires documentation that the meeting is being held, and then the school will need to assure that topics discussed are relevant.

**Criterion 7**

**Guidance**
Continuing education and documentation showing compliance is defined as: Participation in a program of instruction which is organized under responsible sponsorship, capable direction, and qualified instruction designed to improved professional proficiency. Twelve clock hours of continuing education or in-service training are required for all instructors in NACCAS-accredited institution each year. Examples of continuing education documentation include, but are not limited to, the following: transcript or certificate from a college course or program, distance learning course or program, or adult education course or program that indicates the number of credits or hours obtained; certificate of attendance from an educational provider that indicates the date and number of hours or credits completed; letters from manufacturers, who provide product knowledge classes, indicating the subject matter taught, the number of credits/hours of the training, and a list of instructors attending; tickets for trade shows including a copy of the program or flyer that describes the event attended; course outline, date, name of instructor, instructor’s qualifications, and a list of attendees for in-house training seminars; letters from guest speakers indicating the length of the presentation, date, and subject matter presented; copy of a NACCAS workshop attendance certificate (which represents a minimum of 12 hours of continuing education including the four requisite hours of teaching methodology).

The commission does not require continuing education for substitute instructors.

**Criterion 8**

**Guidance**
Examples of teaching methodology include topics such as: classroom management, lesson plan development, course development, delivery methods, presentation skills, development and use of teaching aids, student motivation and learning, evaluation and assessment of student progress, qualities of an effective educator, managing the learning environment, basic learning styles and principles, student counseling/advising, career and employment preparation, teaching in the student salon, teaching learning-disabled students, developing effective educational relationships, teaching study and testing skills, teamwork, communications, professional development of the instructor, student retention, etc.

**Criteria 9 and 10**

**Guidance**
It is not the Commission’s expectation that performance evaluations are required for substitute instructors.
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**Standard III**

**Criterion 3**

**Guidance**
It is the commission’s objective that this criterion is used to monitor compliance with a number of other agency requirements or laws such as oversight from the U. S. Department of Education, State Boards of Cosmetology, OSHA, ADA, copyright laws, etc. The commission provides no specific guidance or criteria of compliance for these areas, but wants teams to know that if they see the blatant abuse of any rule, law, or regulation, they should report what they see using this criterion as a reference.

**Criterion 7**

**Guidance**
It is the Commission’s objective that the school can have multiple participation agreements including the Program Participation Agreement. On-site evaluation teams should look for documentation and communication seen by governing bodies and the school in verifying awareness that a change is being made (This is in cases where the school does not yet have an updated PPA or ECAR).

**Criterion 12**

**Guidance**
A dependent minor is someone who is dependent on a parent or guardian for tax purposes.

**Criterion 13**

**Guidance**
It is the Commission’s objective that the school will describe to NACCAS what the school is doing in order to comply with FERPA. Then, based on what the school says that it is doing, the on-site evaluation team then verifies this (i.e. documentation that the school says that it is using it). The team can refer the school to the current NACCAS Sample and Guidelines booklet.

**Criterion 16**

**Guidance**
It is the Commission’s objective that if the school can provide documentation that is printable, such as a login or password, then the school could show compliance with this criterion.

The enrollment data is to be reported by program; however, it is based on increase in the overall growth (Based on Annual Report).

**Advertising Policy**

**Guidance**
Institutions may use a corporate name that is totally different from the school name for the purpose of doing business. However, if the institution desires to use the corporate name in advertising, it must be in compliance with NACCAS Policy on Advertising and clearly identify the institution as a school.

**Standard IV**

**Criterion 1**

**Guidance**
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If the state does not issue a home-schooling credential, then the student must meet other criteria for admission (i.e. Ability to Benefit requirements, if applicable).

While the school’s admission policy has to be in the catalog, it is not the intent of the commission for the policy to list every single type of document that determines the school’s eligibility for enrollment.

Ability to Benefit students who are completing the required 225 clock hours, cannot receive financial aid before completion of those hours. Such students must be enrolled with a contract and receive a report showing whether or not they have satisfactorily completed the 225 hours.

The admissions policy language was taken from the Department of Education. It is the Commission’s objective that if the on-site evaluation team is in doubt concerning the admissions policy, the team may choose to cite a limitation and then to let the Commission decide. A school does not have to be accredited by a USDE recognized agency.

Criteria 4, 5, 6, 7, and 18

Guidance
Access simply means access. It is the school’s choice whether to provide a copy to each student in any printable media format or to maintain one copy that the student can access. It would then be the student’s responsibility to request a personal copy to keep.

Criterion 14

Guidance
The term “articulation agreement” can be found in the Glossary of the Handbook. There should be articulation agreements between main campuses and their branches or main campuses and other main campuses. Having an articulation agreement facilitates students being able to take classes at both institutions without having to drop and re-enroll the student.

Standard VI

Criterion 4

Guidance
The purpose of the course outline is to ensure that all the required elements are prepared and provided to students for the course and/or program enrolled. If the institution has an individual course outline for three different components or short courses that are combined to form a longer course, the institution is considered to be in compliance with the NACCAS course outline requirement. However, the Commission does not require the institution to provide course outlines for modules or units.

Criterion 7

Guidance
It is the Commission’s intention that evaluators should check the regulatory agency’s statutes or regulations to determine how each license is defined and what practices each licensee is authorized to perform in order to determine if the curriculum elements are sufficiently preparing the graduate for licensure and employment.

Criterion 9

Guidance
Academic learning and practical learning must still precede advancement to the student salon or clinic activities. Academic learning is defined as a “The acquisition of knowledge and skills.”
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**Criterion 10**

**Guidance**
It is the Commission’s objective for schools and teams to recognize that academic and practical learning can take place in a variety of venues (not just in a “classroom” setting). The Commission wants to allow schools to be creative in their delivery of instruction. However, it should also be very clear that “conceptual learning” is also done in the clinic. It is not the Commission’s intention to eliminate the requirement for classroom instruction.

**Criterion 12**

**Guidance**
It is expected that a school will offer scheduled and organized education. NACCAS staff will ask how the school’s education schedule works in planning for a visit.

**Standard VII**

**Criteria 4, 5, 6, and 7**

**Guidance**
It is the Commission’s objective that the on-site evaluation team will need to verify both accuracy and timeliness of refunds for those calculations done according to its federal-, state- and/or program-mandated policy. If the state has a policy, but allows the school to follow its accrediting agency policy, the school must be in compliance with NACCAS. Unless superseded by a state-mandated or program-mandated refund policy, the school shall adopt a policy that complies with the NACCAS Withdrawal and Settlement Policy and Checklist.

It is also the Commission’s interpretation that return to title IV is not considered a refund. This is a return of federal financial aid. Therefore, if a school participates in a title IV program, the school must also do an institutional refund calculation or applicable refund calculation after the return to title IV has been made.

Teams are to verify that the R2T4 calculation was completed, but not verified for accuracy. If the R2T4 is not in the file, the team is directed to ask the school if they are kept elsewhere. If they cannot be provided, the team should cite the finding under Standard III, Criterion 3.

Evaluators are to refer to item 5 of the checklist to determine if an institution is calculating refunds based on actual hours or scheduled when determining length “completed” above,

Evaluators are to understand that NACCAS is only concerned with three dates with respect to the Withdrawal and Settlement Policy and Checklist as follows:

- The student’s Last Date of Attendance
- The formal cancellation date or date the institution determined the student has withdrawn
- The date of the refund, if applicable

The date an actual calculation form is completed plays NO part in determining whether a refund was made timely. Teams are charged with the responsibility of determining that a refund is made within 30 days (or 45 days per policy) of the date the drop was determined. NACCAS does not require the date the form was executed to even enter the picture. For example, if the student’s LDA is listed, the date of determination must occur within 30 days and the refund must be made within 45 days of that date. However, the school might not get around to completing the form until 10 days AFTER the formal cancellation date, but the refund is still due 45 days from the formal cancellation date

It is not NACCAS’s intention for schools to be cited if they bill students daily, weekly, or monthly for extra instructional charges after all allowable absences have been exhausted even if the student ultimately drops before the contract ending date. The philosophy is that it is no different than allowing schools to bill students for missed Saturdays, for example, which NACCAS already allows.
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Policy VII.01

Guidance
It is the Commission’s intention to allow an institution to bill and refund tuition according to a billing period (such as terms), if it is the institution’s policy to do so.

Standard VIII

Criterion 6

Guidance
It is the Commission’s objective that the public must be able to clearly differentiate between a professional service facility and the school (i.e. separate advertising and operations, whether the salon operates in the evening while the school is not in operation or the school and the salon are next door with separate facilities). Students must not be able to transition between either facility for educational purposes or other unacceptable practices (i.e. working in both the salon and performing services in the clinic). It should be noted the school will have to comply with all state regulations regarding its operation and facilities when considering operation of a professional service facility and a school under the same ownership or otherwise associated.

Criterion 7

Guidance
It is the Commission’s objective that the public is aware that services will be received by students. As long as the school’s signs make this apparent, the school would be considered to be in compliance.

Example: It is acceptable for a school to have a sign advertising a price for services next to the sign that indicates that it is a school. The price advertisement does not have to have the statement “all services performed by supervised students.”

Example: It is acceptable for a school to have the sign “Beauty School” without this name having to be listed as an “other name used” as long as the name of the school is displayed on the building.

Example: The school may have an “other name used” as its sign. It does not have to be the full name of the school as long as NACCAS is aware of the alternate name, and the name complies with the NACCAS Policy on Advertising.

Standard IX

SAP Checklist

Guidance
Items 16d for clock hour institutions and 15d for credit hour institutions means that if an institution develops an academic plan for the student that, if followed, will ensure that the student is able to meet the institution’s satisfactory academic progress requirements by a specific point within a maximum timeframe established for the individual student that may be extended based on the student’s approved appeal.

Criterion 1

Guidance
Institutions are expected to evaluate students in each of the three areas. However, in a credit hour program, attendance may not be provided. In a distance education program, practical learning may not be provided.

Criterion 2

Guidance
It is the Commission’s objective that written practical grading criteria should be written in a way that ensures that two different instructors evaluating the same practical skills set should arrive at the same grade. Refer schools to Sample Forms and Guidelines booklet.
Criterion 6

Guidance
The Commission does not require that documentation of regular SAP evaluations are found in the student file if the school can demonstrate that they are performed as policy requires (such as electronically or maintenance of documents found in another location).

Further, if a student is placed on probation or determined not making SAP, the school must be able to document proper notification to the student including what actions must be taken to re-establishment SAP.

If the institutions are doing SAP evaluations on scheduled hours, then the evaluation should be based on how many hours were clocked up to the scheduled 450 hour point. If the institution is doing evaluations on actual hours, the institution should be able to pinpoint the day the student actually clocks 450 hours. That means the student might get to 450 at 10:00 am so the evaluation might show 456 hours at the end of the day. In a clocked hour situation, the Commission will allow a 3 day grace period to actually document the evaluation.